

## 1. Quaternion algebras

**1.1. Definition and general properties.** Let  $F$  be a field of characteristic  $\neq 2$ . Let  $a, b \in F^\times$ . As it is easily checked, there is a unique unital associative  $F$ -algebra of dimension 4 with  $F$ -basis  $1, i, j, k$  such that  $i^2 = a$ ,  $j^2 = b$  and  $ij = -ji = k$  (so  $k^2 = -ab$ ). We denote this  $F$ -algebra by

$$\left(\frac{a, b}{F}\right).$$

Its presentation as an  $F$ -algebra is thus given by  $F\{i, j\}/(i^2 - a, j^2 - b, ij = -ji)$ .

A *quaternion algebra* over  $F$  is an  $F$ -algebra isomorphic to such an algebra for some  $a, b \in F^\times$ . If  $\mu \in F^\times$ , there are  $F$ -algebra isomorphisms

$$\left(\frac{a, b}{F}\right) \simeq \left(\frac{b, a}{F}\right), \quad \left(\frac{a\mu^2, b}{F}\right) \simeq \left(\frac{a, b}{F}\right), \quad \left(\frac{1, b}{F}\right) \simeq M_2(F),$$

induced respectively by  $(i, j) \mapsto (j, i)$ ,  $(i, j) \mapsto (i\mu^{-1}, j)$  and  $i \mapsto \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $j \mapsto \begin{pmatrix} 0 & b \\ 1 & 0 \end{pmatrix}$ . It follows that  $M_2(F)$  is a quaternion algebra, called the *trivial* or *split* quaternion algebra. If  $F$  is algebraically closed, or even if any element of  $F$  is a square, the formulae above show that  $M_2(F)$  is the unique quaternion algebra over  $F$ . If  $F'/F$  is a field extension, we have

$$\left(\frac{a, b}{F}\right) \otimes_F F' \simeq \left(\frac{a, b}{F'}\right)$$

so  $D \otimes_F \bar{F} \simeq M_2(\bar{F})$  for any quaternion  $F$ -algebra  $D$ .

**PROPOSITION 1.2.** *If  $D$  is an  $F$ -algebra of rank 4, then the following properties are equivalent : (a)  $D$  is a quaternion  $F$ -algebra, (b)  $D$  has center  $F$  and is simple (i.e. it has no non-trivial two-sided ideal), (c)  $D \otimes_F \bar{F} \simeq M_2(\bar{F})$ .*

*If these properties hold, either  $D \simeq M_2(F)$  or  $D$  is a division algebra.<sup>1</sup>*

*Proof* — We have seen (a)  $\Rightarrow$  (c), and (c)  $\Rightarrow$  (b) follows at once from the fact that  $M_2(\bar{F})$  is simple with center  $\bar{F}$ .

Assume now that (b) holds and let us check first the last assertion (and then (a)).

Assume that for some  $x \neq 0 \in D$ ,  $Dx \subsetneq D$ . Then the set of proper left-ideals of  $D$  is nonempty, hence has an element  $I$  of minimal  $F$ -dimension. The action by left-translations of  $D$  on  $I$  induces an  $F$ -linear injection  $D \rightarrow \text{End}_F(I)$  as  $D$  is simple, so  $I$  has  $F$ -dimension 2 or 3. In the first case  $D \simeq M_2(F)$ . In the second, each proper left-ideal of  $D$  has dimension 3, hence there is a unique such ideal (consider intersection of such ideals), which is  $I$ . It follows that  $I$  is a right-ideal as well, which is absurd. As a consequence, either  $D \simeq M_2(F)$  or  $D$  is a division algebra.

To check (a) we may thus assume that  $D$  is a division algebra. In this case, for any  $x \in D \setminus F$  then  $F[x]$  is a field of degree 2 over  $F$  as  $D$  is not commutative, and it coincides with its centralizer in  $D$  for the same reason. Fix such an  $x$ . As the characteristic of  $F$  is not 2, there is some  $i \in F[x] \setminus F$  such that  $i^2 = a$  and  $a \in F^\times$  is not a square in  $F$ . The conjugation by  $i$  on  $D$  has order 2, and its 1-eigenspace is  $F(i)$  (by the remark above),

<sup>1</sup>This means that for any  $x \in D \setminus \{0\}$ , there is a  $y \in D$  such that  $xy = yx = 1$ .

and its  $-1$ -eigenspace is thus non-zero : there exists  $j \in D$  such that  $ij = -ji$  (again use  $2 \in F^\times$ ). But then  $j^2$  commutes with  $i$ , hence belongs to  $F(i)$ , so  $j^2 = ci + b$ , for  $c, b \in F$ . If  $c \neq 0$  then  $F(j) \supsetneq F(i)$  and  $F(j) = D$  : absurd, so  $c = 0$  and  $b \in F^\times$ . It follows that there is a natural  $F$ -algebra morphism  $\left(\frac{a,b}{F}\right) \rightarrow D$ , necessarily injective as the source is simple, hence bijective.  $\square$

**1.3. Quaternion algebras and quadratic forms.** The  $F$ -linear automorphism  $x = (1, i, j, k) \mapsto x^* = (1, -i, -j, -k)$  defines an anti-involution of  $D = \left(\frac{a,b}{F}\right)$ :  $(xy)^* = y^*x^*$  and  $(x^*)^* = x$ . We define the *trace* and the *norm* of a quaternion  $x$  as the elements  $T(x) = x + x^* \in F$  and  $N(x) = xx^* \in F$ .<sup>2</sup> The trace is an  $F$ -linear map  $D \rightarrow F$ , the  $F$ -bilinear map  $(x, y) \mapsto T(xy)$  is easily checked to be symmetric and non-degenerated. The norm defines a 4-variables quadratic form over  $F$

$$N(\alpha + \beta i + \gamma j + \delta k) = \alpha^2 - a\beta^2 - b\gamma^2 + ab\delta^2,$$

which is non-degenerated and has discriminant  $1 \in F^\times / (F^\times)^2$ . We have  $N(x+y) = N(x) + N(y) + T(xy^*)$  for all  $x, y \in D$ .

Via the isomorphisms  $D \otimes_F \bar{F} \simeq M_2(\bar{F})$ , one easily checks that  $T \otimes_F \bar{F}$  is the usual trace and  $N \otimes_F \bar{F}$  is the determinant. As each  $\bar{F}$ -automorphism of  $M_2(\bar{F})$  is the conjugation by some element in  $\text{GL}_2(\bar{F})$ , it follows that  $T$  and  $N$  only depends on the  $F$ -algebra structure on  $D$  (and not on the choice of  $a, b$  defining  $D$ ), as well as  $x \mapsto x^* = T(x) - x$ . Moreover<sup>3</sup>,  $N(xy) = N(x)N(y)$  for all  $x, y \in D$ . By definition, the fixed points of  $*$  coincide with  $F \subset D$  and the subspace  $D^0 \subset D$  where  $x^* = -x$  (or  $T(x) = 0$ ) is the orthogonal complement of  $F$  in  $D$  for the norm. It is called the space of pure quaternions. We have  $D = F \oplus D^0$  and  $D^0 = Fi + Fj + Fk$ .

**PROPOSITION 1.4.** *The map  $D \mapsto N_{|D^0}$  defines a bijection between the set of isomorphism classes of quaternion  $F$ -algebras and the equivalence classes of non-degenerate quadratic forms on  $F^3$  with discriminant 1. In this bijection,  $M_2(F)$  corresponds to the unique isotropic such form  $x^2 - y^2 - z^2$ .*

*Proof* — By the remarks above, if  $D$  is a quaternion algebra then the 3-dim quadratic space  $Q(D) := (D^0, N_{|D^0})$  is well defined, non-degenerated, with discriminant 1. As any such quadratic space has the form  $-ax^2 - by^2 + abz^2$  for some  $a, b \in F^\times$ , the map of the statement is surjective. Note that for  $x \in D^0$  we have  $N(x) = xx^* = -x^2$ , and if furthermore  $y \in D^0$ , then  $x$  is orthogonal to  $y$  iff  $0 = xy^* + x^*y = -(xy + yx)$ , i.e. iff  $xy = -yx$ . It follows that if  $Q(D) \simeq Q\left(\left(\frac{a,b}{F}\right)\right)$ , then  $D^0$  contains elements  $x, y$  such that  $x^2 = a$ ,  $y^2 = b$  and  $xy = -yx$ , thus  $D \simeq \left(\frac{a,b}{F}\right)$  by the presentation of this latter algebra. To check the last assertion, remark that by the multiplicativity of the norm and the relation  $xx^* = N(x) \in F$ ,  $D$  is a division algebra if and only if  $N$  is anisotropic. As the quadratic form  $N$  has 4 variables and discriminant 1, it turns out that its index is either 0 or 2 (but not 1), thus  $N$  is anisotropic iff  $N_{|D^0}$  is anisotropic.  $\square$

<sup>2</sup>Note that the Cayley-Hamilton identity  $x^2 - T(x)x + N(x) = 0 = (x-x)(x-x^*)$  holds in  $D$ .

<sup>3</sup>The reader can check as an exercise that  $N$  is the unique nonzero multiplicative quadratic form on a quaternion algebra  $F$ .

**1.5. The case of local and global fields.** If  $F^\times/(F^\times)^2$  is finite, there are finitely many quaternion algebras over  $F$  by the simple isomorphisms above. This applies to local fields, in which case we even have:

**PROPOSITION 1.6.** *If  $F$  is a local field and  $F \neq \mathbb{C}$ , then there is exactly one non-split quaternion algebra over  $F$  up to isomorphism. If  $F$  is a finite extension of  $\mathbb{Q}_p$  this algebra is  $\left(\frac{a,\pi}{F}\right)$  where  $\pi$  is a uniformizer of  $F$  and  $a \in \mathcal{O}_F^\times$  is an element such that  $F(\sqrt{a})$  is the quadratic unramified extension of  $F$ .*

*Proof* — Indeed, over  $\mathbb{R}$ , it is clear that Hamilton's quaternions  $\left(\frac{-1,-1}{\mathbb{R}}\right)$  is the unique non-trivial quaternion algebra. If  $F$  is a finite extension of  $\mathbb{Q}_p$ , it is a good exercise that we leave to the reader to check that there is a unique anisotropic quadratic form over  $F^3$  with discriminant 1, which is isomorphic to

$$q(x, y, z) = -ax^2 - \pi y^2 + a\pi z^2$$

where  $\pi \in F$  and  $a \in \mathcal{O}_F^\times$  are as in the statement (use e.g. similar arguments as in the proof of the examples below). Let us simply check here that for  $p > 2$  this form is indeed anisotropic. In this case the second assertion means that  $a$  is not a square mod  $\pi$ . If  $(x, y, z)$  is a non-trivial zero in  $F^3$ , then we may assume that  $x, y, z \in \mathcal{O}_F$  and that one of them is in  $\mathcal{O}_F^\times$ . From  $q(x, y, z) = 0$  we get that  $\pi|x$ , and dividing everything by  $\pi$  and reducing mod  $\pi$  it follows that  $y^2 \equiv az^2 \pmod{\pi}$ . As  $a$  is not a square mod  $\pi$  it follows that  $\pi$  divides  $y$  and  $z$ : absurd. When  $p = 2$  one does the same by arguing mod  $4\pi$ , after the change of variables  $y = 2y'$  and  $z = 2z'$ .  $\square$

The following classical theorem is the main theorem on the classification of quaternion algebras over number fields, it follows from the Hasse-Minkowski theorem on quadratic form and of the study of the Hilbert symbol (see e.g. Serre's *cours d'arithmétique* for a complete study in the case  $F = \mathbb{Q}$ , in that case the study of the Hilbert symbol reduces to the quadratic reciprocity law : see the examples below for some flavor).

**THEOREM 1.7.** *Let  $F$  be a number field. If  $D$  is a quaternion algebra over  $F$ , the set  $\text{Ram}(D) \subset S(F)$  of places  $v$  such that  $D$  is ramified at  $v$ , i.e. such that  $D_v := D \otimes_F F_v$  is not split, is a finite set with an even number of elements.*

*For any finite set  $S \subset S(F)$  such that  $|S|$  is even, there is a unique quaternion algebra over  $F$  such that  $\text{Ram}(D) = S$ .*

**DEFINITION 1.8.** *A quaternion algebra over  $\mathbb{Q}$  is called definite if  $D_\infty$  is not split, indefinite otherwise. Of course  $\left(\frac{a,b}{\mathbb{Q}}\right)$  is definite iff  $a$  and  $b$  are  $< 0$ .*

**EXAMPLE 1.9.** *For each prime  $p$ , there is a unique (definite) quaternion algebra  $D$  over  $\mathbb{Q}$  ramified exactly at  $p$  and  $\infty$ . Concretely, we may take:*

- (i)  $D = \left(\frac{-1,-1}{\mathbb{Q}}\right)$  if  $p = 2$ ,
- (ii)  $D = \left(\frac{-1,-p}{\mathbb{Q}}\right)$  if  $p \equiv 3 \pmod{4}$ ,
- (iii)  $D = \left(\frac{-2,-p}{\mathbb{Q}}\right)$  if  $p \equiv 5 \pmod{8}$ ,
- (iv)  $D = \left(\frac{-\ell,-p}{\mathbb{Q}}\right)$  if  $p \equiv 1 \pmod{8}$  whenever  $\ell$  is a prime  $\equiv 3 \pmod{4}$  which is a square mod  $p$  (there always exist such primes!).

Let us check that those  $D$  have the required properties using only Prop. 1.4. First, they are obviously definite.

— Let  $q$  be an odd prime. If  $a_i \in \mathbb{Z}_q^\times$ , observe that the form  $\sum_{i=1}^n a_i X_i^2$  represents 0 in  $\mathbb{Q}_q^n$  if  $n \geq 3$ . Indeed, by successive approximation mod  $q^m$ ,  $m \geq 1$ , one easily reduces to show that its reduction mod  $q$  represents 0, but it is well known that any non-degenerate quadratic form in  $\geq 3$  variables over a finite field of odd characteristic represents 0. It follows from this that for any  $D$  as in the statement above, and for each prime  $q \neq 2, p$ , with furthermore  $q \neq \ell$  case (iv), then  $D$  is split at  $q$ .

— Remark that for  $a \in \mathbb{Z}_p^\times$  and  $p$  odd, the form  $aX^2 + pY^2 + apZ^2$  represents 0 if and only if  $-a$  is a square mod  $p$ . It follows that the  $D$  in (ii) to (iv) is ramified at  $p$ , as respectively  $-1$ ,  $-2$  and  $-\ell$  are not squares mod  $p$  in those cases. It also shows that in case (iv) the algebra  $D$  is split at  $\ell$  as  $-p$  is a square mod  $\ell$ .

— This shows in all cases that  $\{\infty\} \subset \text{Ram}(D) \subset \{\infty, p\}$ . If we allow ourselves to use that  $|\text{Ram}(D)|$  is even, this concludes the proof.

— The behaviors at the prime 2 can of course be checked directly, for instance as follows. To conclude in case (i), note that indeed  $X^2 + Y^2 + Z^2$  does not represent 0 over  $\mathbb{Q}_2$ : we may assume that  $(x, y, z) \in \mathbb{Z}_2^3 \setminus (2\mathbb{Z}_2)^3$  and argue mod 4. In the other cases, use the following observation that one checks by successive approximation: if  $q = \sum_{i=1}^n a_i X_i^2$  with  $a_i \in \mathbb{Z}_2 \setminus \{0\}$  for all  $i$ , and if  $q(x_i) \equiv 0 \pmod{8}$  for some  $(x_i) \in \mathbb{Z}_2^n$  with the property that  $x_j \in \mathbb{Z}_2^\times$  for some  $j$  such that  $a_j \in \mathbb{Z}_2^\times$ , then  $q$  represents 0 in  $\mathbb{Z}_2^n$ . We leave as an exercise to the reader to show that  $2 \notin \text{Ram}(D)$  in cases (ii) to (iv) using this criterion (multiply first the form by 2 in case (iii)).

**Exercises:** (i) Let  $F$  be a number field and  $D = \left(\frac{a,b}{F}\right)$ . Show that for each finite prime  $v$  of odd residual characteristic and such that  $a_v, b_v \in O_{F_v}^\times$ ,  $D_v$  is split. In particular,  $D_v$  is split for all but finitely many  $v \in S(F)$  (that is the easy part of the theorem above).

(ii) Let  $q$  be a quadratic form on  $\mathbb{Q}_p^3$  with discriminant 1. Show that  $q$  represents 0 in any quadratic extension of  $\mathbb{Q}_p$ . For any real quadratic field  $F/\mathbb{Q}$ , give an explicit quaternion algebra  $D$  over  $F$  such that  $\text{Ram}(D) = S(F)_\mathbb{R}$ .

(iii) (Image of the norm) Let  $D$  be a quaternion algebra over  $F$  and consider the group homomorphism  $N : D^\times \rightarrow F^\times$ . Show that  $N$  is surjective if  $F$  is a finite extension of  $\mathbb{Q}_p$ . If  $F$  is a number field, show that the image of  $N$  is the subgroup of elements  $x \in F^\times$  such that  $x_v > 0$  for each  $v \in S(F)_\mathbb{R}$  such that  $D_v$  is not split (use Hasse-Minkowski's theorem).

## 2. Arithmetic of quaternion algebras over $\mathbb{Q}$

As in the case of number fields, we shall use a local-global method to study the arithmetic of quaternion algebras over  $\mathbb{Q}$ .

**2.1. Orders and fractional ideals of quaternion algebras over  $\mathbb{Q}_p$ .** Let  $D$  be a quaternion algebra over  $\mathbb{Q}_p$ . An *order* of  $D$  is a  $\mathbb{Z}_p$ -subalgebra  $\mathcal{O} \subset D$  which is a  $\mathbb{Z}_p$ -lattice of the underlying  $\mathbb{Q}_p$ -vector space of  $D$ . A *fractional (right-)ideal* of  $\mathcal{O}$  is a  $\mathbb{Z}_p$ -lattice  $I \subset D$  such that  $I\mathcal{O} \subset I$ .

An order  $\mathcal{O}$  necessarily has rank 4 over  $\mathbb{Z}_p$  and is made of elements  $x$  which are integral over  $\mathbb{Z}_p$ . In particular, the bilinear form  $T$  of  $D$  is  $\mathbb{Z}_p$ -valued on  $\mathcal{O}$  and  $\mathcal{O}$  has a discriminant  $\delta(\mathcal{O})$  : it is the ideal of  $\mathbb{Z}_p$  generated by the determinant of the matrix  $T(x_i x_j)$  for any  $\mathbb{Z}_p$ -basis  $x_i$  of  $\mathcal{O}$ . It is non-zero as  $T$  is non-degenerated on  $D$ . It follows that *any  $\mathcal{O}$  is contained in a maximal order (for the inclusion)*.

PROPOSITION 2.2. - *When  $D = M_2(\mathbb{Q}_p)$ , the maximal orders are the  $\mathrm{GL}_2(\mathbb{Q}_p)$ -conjugate of  $M_2(\mathbb{Z}_p)$ , they have discriminant 1.*

- *If  $D$  is the non-split quaternion algebra, there is a unique maximal order, it has discriminant  $p^2$ .*

- *In both cases, each fractional ideal  $I$  of a maximal order  $\mathcal{O}$  of  $D$  has the form  $x\mathcal{O}$  for some  $x \in D^\times$  which is unique up to multiplication by  $\mathcal{O}^\times$  on the right.*

*Proof* — Assume first  $D = M_2(\mathbb{Q}_p)$ . The order  $M_2(\mathbb{Z}_p)$  is a maximal order as it has discriminant (1). As any order  $\mathcal{O} \subset D$  preserves a lattice in  $\mathbb{Q}_p^2$ , it follows that the maximal orders are exactly of the stabilizers of lattices in  $\mathbb{Q}_p^2$ , i.e. the  $xM_2(\mathbb{Z}_p)x^{-1}$  for some  $x \in \mathrm{GL}_2(\mathbb{Q}_p)$  (note that maximal orders are not unique !). The map  $I \mapsto I(\mathbb{Z}_p^2)$  induces a bijection between the set of fractional ideals of  $M_2(\mathbb{Z}_p)$  and the set of  $\mathbb{Z}_p$ -lattices in  $\mathbb{Q}_p^2$  : this may be seen directly (exercise) or as a special case of Morita equivalence. In particular, any fractional ideal of  $M_2(\mathbb{Z}_p)$  is principal, i.e. of the form  $xM_2(\mathbb{Z}_p)$  for some  $x \in \mathrm{GL}_2(\mathbb{Q}_p)$ . If  $xM_2(\mathbb{Z}_p) = M_2(\mathbb{Z}_p)$  then clearly  $x \in \mathrm{GL}_2(\mathbb{Z}_p)^\times$ .

Assume now that  $D$  is a field. As for finite extensions of  $\mathbb{Q}_p$ , the norm of  $\mathbb{Q}_p$  extends uniquely to a multiplicative non-archimedean discretely valued norm  $|\cdot|$  on  $D$ . It follows that  $\mathcal{O}_D = \{x \in D, |x| \leq 1\}$  is an order of  $D$ , containing all the elements of  $D$  which are integral over  $\mathbb{Z}_p$ , hence all the orders of  $D$  : it is the unique maximal order (note the difference with the split case). It follows that any fractional ideal of  $\mathcal{O}_D$  is principal (and two-sided). The subset  $\{x \in \mathcal{O}_D, |x| < 1\}$  is the maximal ideal of  $\mathcal{O}_D$ , fix  $\pi$  a generator. We have  $up = \pi^e$  for some unique  $e \geq 1$  and  $u \in \mathcal{O}_D^\times$  (i.e.  $|u| = 1$ ). If  $p^f$  is the cardinal of the finite field  $k_D := \mathcal{O}_D/(\pi)$  (necessariliy commutative) it follows that  $ef = [D : \mathbb{Q}_p] = 4$ . As any element of  $\mathcal{O}_D$  has degree 2 over  $\mathbb{Z}_p$ , we see that  $f \leq 2$  and that  $e \leq 2$  so  $e = f = 2$ .

If we write

$$D = \left( \frac{a, p}{\mathbb{Q}_p} \right)$$

where  $a \in \mathbb{Z}_p^\times$  is such that  $K = \mathbb{Q}_p(\sqrt{a})$  is the unramified quadratic extension of  $\mathbb{Q}_p$ , then  $\mathcal{O}_K + j\mathcal{O}_K$  is an order of  $D$ , thus

$$(2.1) \quad \mathcal{O}_K + j\mathcal{O}_K \subset \mathcal{O}_D.$$

But  $\mathcal{O}_D$  has discriminant  $\neq 1$  as  $j \notin p\mathcal{O}_D$  (apply  $N$ ) and  $T(j\mathcal{O}_D) \subset p\mathbb{Z}_p$ . A direct computation shows that the left-hand side has discriminant  $(p^2)$ , thus the only possibility is that the inclusion (2.1) is an equality.  $\square$

**Exercises:** (i) Assume  $D = M_2(\mathbb{Q}_p)$  and  $\mathcal{O} = M_2(\mathbb{Z}_p)$ . Show that under the bijection above, the right ideals of  $\mathcal{O}$  containing  $p$  correspond to the lines in  $\mathbb{F}_p^2$ . In particular, there are  $p + 1$  such ideals and each of them has index  $p^2$  in  $\mathcal{O}$ .

(ii) Let  $D$  be a quaternion algebra over  $\mathbb{Q}_p$  and  $\mathcal{O}$  a maximal order. Show that for any fractional ideal  $I \subset \mathcal{O}$ ,  $[\mathcal{O} : I]$  is a square.

(iii) Let  $D$  be the non trivial quaternion algebra over  $\mathbb{Q}_p$  and  $\pi$  a uniformizer of  $D$ . Show that  $\{1 + \pi^n \mathcal{O}_D, n \geq 1\}$  is a basis of neighborhoods of 1 in  $D^\times$  consisting of normal open subgroups of  $D^\times$ . Show that  $D^\times / \mathbb{Q}_p^\times$  is a compact group. Deduce that the smooth irreducible complex representations of  $D^\times$  are finite dimensional. (Compare with the case  $D = M_2(\mathbb{Q}_p)$ ).

**2.3. The ideal class set of a quaternion algebra over  $\mathbb{Q}$ .** Let  $D$  be a quaternion algebra over  $\mathbb{Q}$ . An order of  $D$  is a subring  $\mathcal{O} \subset D$  which is a  $\mathbb{Z}$ -lattice in  $D$ , and a fractional ideal of  $\mathcal{O}$  is a  $\mathbb{Z}$ -lattice  $I \subset D$  such that  $I\mathcal{O} \subset I$ . For the same reasons as in the local case (non degeneracy of  $T$  on  $D$ ), orders have a non-zero discriminant in  $\mathbb{Z}$  (this is even a well-defined number here) and each order is included in a maximal order. We fix such a maximal order  $\mathcal{O}$ . We assume from now on that  $D$  is a division algebra.

Orders and fractional ideals can be studied by the local-global method. If  $\Lambda \subset D$  is a  $\mathbb{Z}$ -lattice, and if  $p$  is a prime, write  $\Lambda_p$  for the lattice  $\mathbb{Z}_p \Lambda \subset D_p = D \otimes_{\mathbb{Q}} \mathbb{Q}_p$ .

LEMMA 2.4. (*localization lemma*) *The map  $\Lambda \mapsto (\Lambda_p)$  is a bijection between  $\mathbb{Z}$ -lattices in  $D$  and the set of collections of local lattices  $(L_p)$  for all primes  $p$  such that  $L_p = \mathcal{O}_p$  for all but finitely many  $p$ . Furthermore,  $\Lambda$  is an order (resp. a maximal order, resp. a fractional ideal of  $\mathcal{O}$ ) iff  $\Lambda_p$  has this property for each  $p$  (resp.  $\Lambda_p$  is a fractional ideal of  $\mathcal{O}_p$  for each  $p$ ).*

*Proof* — The first statement would hold for any finite dimensional vector space over  $\mathbb{Q}$  replacing  $D$  with a given  $\mathbb{Z}$ -lattice  $\mathcal{O}$ . It follows from the fact that the functor  $\Lambda \mapsto \Lambda \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}} = (\Lambda_p)$  is exact on finitely generated abelian groups and preserves the indices of sublattices :  $\widehat{\mathbb{Z}}$  is flat over  $\mathbb{Z}$  and  $X = X \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}$  for any finite abelian group  $X$ . For the second statement, note that a lattice  $\mathcal{O} \subset D$  is an order iff the lattice  $\mathcal{O}.\mathcal{O}$  is included in  $\mathcal{O}$ . By the first statement this holds iff it holds at each prime  $p$ , but clearly  $(\mathcal{O}.\mathcal{O})_p = \mathcal{O}_p.\mathcal{O}_p$  for each prime  $p$ . Thus  $\mathcal{O}$  is an order iff each  $\mathcal{O}_p$  is, and  $\mathcal{O}$  is maximal iff each  $\mathcal{O}_p$  is. The statement about ideals is similar.  $\square$

It follows from this and the previous local computation (plus a simple archimedean one) that

COROLLARY 2.5. *The maximal orders of  $D$  are the orders with discriminant  $d^2$  where  $d$  is the (squarefree) product of the finite primes at which  $D$  is ramified. We often call this number  $d$  the discriminant of  $D$ .*

It follows from the classification theorem that for each squarefree positive  $d$  there is a unique quaternion algebra with discriminant  $d$ . It is definite iff  $d$  has an odd number of prime divisors.

We denote by  $\text{Cl}(\mathcal{O})$  the set of equivalence classes<sup>4</sup> of fractional ideals of  $\mathcal{O}$  for the relation  $I \sim J \Leftrightarrow I = xJ$  for some  $x \in D^\times$ . We denote by  $D_f^\times$  the subgroup of

<sup>4</sup>As any order  $\mathcal{O}$  is necessarily stable by  $x \mapsto x^*$ , we obtain a natural bijection between left and right fractional ideals of  $\mathcal{O}$ , and between the "left" and "right" ideal class sets.

$\prod_p D_p^\times$  whose elements  $(x_p)$  are such that  $x_p \in \mathcal{O}_p^\times$  for all but finitely many primes  $p$ . The definition of  $D_f^\times$  is independent of  $\mathcal{O}$  and the diagonal inclusion  $D^\times \rightarrow \prod_p D_p^\times$  falls inside  $D_f^\times$ .

**THEOREM 2.6.** *The class set  $\text{Cl}(\mathcal{O})$  is finite and there is a canonical bijection*

$$\text{Cl}(\mathcal{O}) \xrightarrow{\sim} D^\times \backslash D_f^\times / \prod_p \mathcal{O}_p^\times.$$

*Its cardinal  $h$  does not depend on the choice of  $\mathcal{O}$ . Moreover, there are at most  $h$   $D^\times$ -conjugacy classes of maximal orders in  $D$ .*

*Proof* — By Prop. 2.2, the fractional ideals of  $\mathcal{O}_p$  are the  $x_p \mathcal{O}_p$  where  $x_p \in D_p^\times$ , the element  $x_p$  being unique up to multiplication by  $\mathcal{O}_p^\times$  on the right. By this and the localization lemma, the map  $I \mapsto (x_p) \in D_f^\times$  where  $I_p = x_p \mathcal{O}_p$  for each  $p$ , induces a bijection between  $\text{Cl}(\mathcal{O})$  and the double cosets of the statement. If  $\mathcal{O}'$  is another maximal order of  $D$ , then we may find  $(z_p) \in D_f^\times$  such that  $\mathcal{O}'_p = z_p^{-1} \mathcal{O}_p z_p$  for all  $p$ , by Prop. 2.2, thus the multiplication by  $(z_p)$  on the right on the double coset space induces a bijection

$$\text{Cl}(\mathcal{O}) \simeq \text{Cl}(\mathcal{O}').$$

The last assertion follows as any two maximal orders are locally conjugate at each prime  $p$ .

Let us check the finiteness statement now. Let  $I$  be a fractional ideal of  $\mathcal{O}$ . Up to equivalence we may assume that  $I \subset \mathcal{O}$ . Choose  $x \in I$  such that the integer  $|N(x)|$  is non-zero and minimal. Equip  $D_\infty$  with the sup norm  $|\cdot|$  with respect to a  $\mathbb{Z}$ -basis of its lattice  $\mathcal{O}$ , view  $N$  as a function  $D_\infty \rightarrow \mathbb{R}$ , and pick  $\delta > 0$  such that  $|N(z)| < 1$  for  $|z| < \delta$  in  $D_\infty$ . By the *almost euclidean algorithm* applied to  $\delta$ ,  $D_\infty$  and the lattice  $\mathcal{O}$ , there is an integer  $M > 0$  such that for each  $v \in D_\infty$  there is a  $z \in \mathcal{O}$  and  $1 \leq k \leq M$  such that  $|N(kv - z)| < 1$ . Apply this to  $v = x^{-1}y$  where  $y \in I$ . We get  $|N(kx^{-1}y - z)| < 1$ , thus  $|N(ky - xz)| < |N(x)|$  and  $ky \in x\mathcal{O}$  by minimality of  $x$ . It follows that

$$M!x\mathcal{O} \subset M!I \subset x\mathcal{O}$$

thus  $I$  is equivalent to the fractional ideal  $x^{-1}M!I$  which sits inside  $M!\mathcal{O}$  and  $\mathcal{O}$ : there are only finitely many such ideals.  $\square$

**LEMMA 2.7.** (*Almost euclidean algorithm*) *Fix  $n \geq 1$  an integer, as well as  $\delta > 0$ . There exists an integer  $M$  such that for all  $v \in \mathbb{R}^n$  there is a integer  $1 \leq k \leq M$  and a  $z \in \mathbb{Z}^n$  such that  $|kv - z|_{\text{sup}} < \delta$ .*

*Proof* — This follows from the pigeon-hole principle: choose  $r \in \mathbb{N}$  and write  $v = (v_i)$ , the fractional parts vectors  $(\langle kv_i \rangle)_{i=1}^n$  for  $k = 0, \dots, r^n$  all belong to  $[0, 1]^n$ , thus at least two of them are in the same box of size  $1/r$ . To conclude pick  $r \geq 1/\delta$  and  $M \geq r^n$ .  $\square$

In the following statement, we endow  $D_f^\times$  with its natural product topology. It is a locally compact topological space. We set for short  $\widehat{\mathcal{O}}^\times := \prod_p \mathcal{O}_p^\times$ , it is a compact open subgroup and a neighborhood of 1 in  $D_f^\times$ .

PROPOSITION 2.8. *If  $D$  is definite then :*

- (a)  $D^\times$  is a discrete subgroup of  $D_f^\times$ ,
- (b) For any  $x \in D_f^\times$  then  $xD^\times x^{-1} \cap \widehat{\mathcal{O}}^\times$  is a finite group. In particular,  $\mathcal{O}^\times$  is a finite group,

*Proof* — To check that  $D^\times$  is discrete it is enough to show that  $D^\times \cap \widehat{\mathcal{O}}^\times$  is finite as  $\widehat{\mathcal{O}}^\times$  is an open neighborhood of 1 in  $D_f^\times$ . But  $D^\times \cap \widehat{\mathcal{O}}^\times = \mathcal{O}^\times$  is the set of element of norm 1 in  $\mathcal{O}$  ( $-1$  is not a possible norm as  $N$  is positive). As  $N$  is definite there are only finitely many such elements. Part (b) follows from (a) as the given intersection is at the same time discrete and compact.  $\square$

REFERENCES: The arithmetic of quaternion algebras have been mostly discovered by Deuring, and then studied by Eichler. See the book of Vigneras on quaternion algebras for a modern treatment as well as many results.

**2.9. Some examples.** Using the strong approximation theorem, one can actually show that  $h = 1$  if  $D$  is indefinite. The situation is very different for definite  $D$ , what we assume now. Perhaps surprisingly compared to the case of number fields, there is however a simple close formula for  $h = h(d)$  in terms of the discriminant  $d$  of  $D$ . For instance if  $d$  is prime then  $h$  is the genus of  $X_0(d)$  plus 1. In particular, in this prime case we have  $h(d) = 1$  iff  $d = 2, 3, 5, 7, 13$ , and  $h(d) = 2$  iff  $d = 11, 17, 19$ .

EXAMPLE A: (Hurwitz quaternions and Lagrange theorem) Let  $D = \left( \frac{-1, -1}{\mathbb{Q}} \right)$  be the quaternion algebra of discriminant 2. It is well-known that in this case

$$\mathcal{O} := \mathbb{Z}i + \mathbb{Z}j + \mathbb{Z}k + \mathbb{Z}(1 + i + j + k)/2$$

is a maximal order, and the approach below shows that it has class number 1 ("Hurwitz quaternions"). It follows that this is the unique maximal order of  $D$  up to conjugacy. The finite group  $\mathcal{O}^\times$  has order<sup>5</sup> 24, it contains as a normal subgroup the usual quaternion group of order 8, as well as the elements  $\frac{\pm 1 \pm i \pm j \pm k}{2}$ . A standard application of  $\text{Cl}(\mathcal{O}) = 1$  is that any odd prime  $p$  is the sum of 4 squares of integers in exactly  $8(p+1)$  ways (Lagrange, Jacobi). Indeed, considering congruences modulo the two-sided ideal  $(1+i)\mathcal{O}$ , whose quotient is  $\mathbb{F}_4 = \mathbb{F}_2[\bar{\tau}]$  where  $\tau = \frac{1+i+j+k}{2}$  ( $\tau^3 = -1$ ), one easily sees<sup>6</sup> that it is equivalent to show that for any odd prime  $p$ , the equation  $p = N(x)$  has  $24(p+1)$  solutions  $x \in \mathcal{O}$ . But for  $x \in \mathcal{O}$ ,  $p = N(x)$  if and only if  $x\mathcal{O}$  is an ideal of index  $p^2$  in  $\mathcal{O}$ . As  $\mathcal{O}_p \simeq M_2(\mathbb{Z}_p)$  for  $p > 2$ ,  $\mathcal{O}_p$  has exactly  $p+1$  distinct ideals of index  $p^2$ , so  $\mathcal{O}$  has exactly  $p+1$  ideal of index  $p^2$  by the localization lemma. All of them are principal as  $\text{Cl}(\mathcal{O}) = 1$ . We conclude the proof as  $x\mathcal{O} = x'\mathcal{O}$  iff  $x = ux'$  for  $u \in \mathcal{O}^\times$ , and  $|\mathcal{O}^\times| = 24$ .

In general,  $\text{Cl}(\mathcal{O})$  is closely related to the set of equivalence classes of 4-variables integral quadratic forms in the same genus as  $(\mathcal{O}, N)$ .

<sup>5</sup>The natural map  $\mathcal{O}^\times \rightarrow \mathcal{O}_3^\times = \text{GL}_2(\mathbb{Z}_3)$  induces thus an isomorphism  $\mathcal{O}^\times \xrightarrow{\sim} \text{SL}_2(\mathbb{F}_3)$ .

<sup>6</sup>Remark that for  $x \in \mathcal{O}_2^\times$ ,  $x \equiv 1 \pmod{1+i}$  iff  $x \in \mathbb{Z}_2 + i\mathbb{Z}_2 + j\mathbb{Z}_2 + k\mathbb{Z}_2$ .



EXAMPLE B: Let  $D = \left(\frac{-1, -11}{\mathbb{Q}}\right)$  be the quaternion algebra with discriminant 11. A discriminant computation shows that a maximal order  $\mathcal{O}$  is given by  $\mathbb{Z}[z] + i\mathbb{Z}[z]$  where  $z = \frac{1+i}{2}$ . If  $Q(u, v, w, t) = N(u + vz + wi + tiz)$  then

$$Q(u, v, w, t) = u^2 + uv + 3v^2 + w^2 + tw + 3t^2.$$

(the discriminant of the associated bilinear form, namely  $(x, y) \mapsto T(xy^*)$ , is  $11^2$ .) We see that  $\mathcal{O}^\times = \langle i \rangle$  has order 4. Note that this form represents 2 in exactly  $4 = |\mathcal{O}^\times|$  ways.<sup>7</sup> It follows that only one of the 3 ideals of  $\mathcal{O}$  of index 4 is principal, namely  $(1+i)\mathcal{O}$ . In particular,  $|\text{Cl}(\mathcal{O})| > 1$ . Consider the index 4 ideal  $I = 2\mathcal{O} + (z-i)\mathcal{O}$ . One easily checks that  $I$  is the subset of  $a + bz + ci + dzi \in \mathcal{O}$  with  $b-c$  and  $a-d$  even. In particular  $1+i \notin I$  and  $I$  is not principal. One can actually show that

$$\text{Cl}(\mathcal{O}) = \{[\mathcal{O}], [I]\}.$$

As a  $\mathbb{Z}$ -module,  $I = \mathbb{Z}e + \mathbb{Z}f + \mathbb{Z}g + \mathbb{Z}h$  where  $e = z - i$ ,  $f = z + i$ ,  $g = 1 + zi$  and  $h = 1 - zi$ . A computation shows that the quadratic form  $Q'(u, v, w, t) := \frac{1}{2}N(ue + vf + wg + th)$  is

$$Q'(u, v, w, t) = 2(u^2 + v^2 + w^2 + t^2) + 2uv + ut + vw - 2wt,$$

which is another positive definite integral 4-variables quadratic form of discriminant  $11^2$ , non equivalent<sup>8</sup> to  $Q$ . Although we shall not use this, one could check that the forms  $\{Q, Q'\}$  are the only two such forms up to  $\mathbb{Z}$ -equivalence! Note that there are 12 elements  $x \in I$  such that  $N(x) = 4$ , namely  $\pm e, \pm f, \pm g, \pm h, \pm 2i \pm 2$ . Using these elements one easily sees that the subgroup of  $u \in D^\times$  such that  $uI = I$  is the group generated by  $\frac{g}{2} = \frac{1+zi}{2}$ , which has order 6 and satisfies  $\frac{g}{2}e = f$ .

Lagrange-Jacobi's theorem admits the following variant in this setting. If  $p \neq 11$  is a prime, and if  $J_1 \dots J_{p+1}$  are the  $p+1$  ideals of  $\mathcal{O}$  of index  $p^2$  containing  $p$ , then some of the  $J_i$  (say  $A$ ) will belong to the class of  $[\mathcal{O}]$  and some others (say  $B$ ) to the class  $[I]$ . We have  $A + B = p + 1$  and a bit of quaternion arithmetic (see below) shows that  $4A$  (resp.  $6B$ ) is also the number  $Q_p$  (resp.  $Q'_p$ ) of ways to represent  $p$  by the integral form  $Q$  (resp.  $Q'$ ). In particular,

$$\frac{Q_p}{4} + \frac{Q'_p}{6} = p + 1$$

but as we shall see below, to compute the individual  $Q_p$  and  $Q'_p$  is more complicated involves modular forms!

**Exercise:** (i) Let  $D = \left(\frac{-1, -11}{\mathbb{Q}}\right)$  and  $\tau = \frac{-1+i\sqrt{11}}{2}$ . Show that  $\tau^3 = 1$  and that  $\mathbb{Z}[\tau] + j\mathbb{Z}[\tau]$  is an order of  $D$ . If  $\mathcal{O}'$  is a maximal order containing that latter order, show that  $\mathcal{O}'$  is not conjugate to the  $\mathcal{O}$  chosen in the example above.

(ii) Let  $D$  be a definite quaternion algebra,  $\mathcal{O}$  a maximal order, and  $I \subset \mathcal{O}$  a right ideal of index<sup>10</sup>  $M^2$ . Show that  $q_I(x) := N(x)/M$  is an integral quadratic form on  $I$ , which is in the same genus as  $(\mathcal{O}, N(-))$  (in particular, positive definite of

<sup>7</sup>Indeed,  $a^2 + ab + 3b^2 = (a + b/2)^2 + 11b^2/4$ .

<sup>8</sup>Check that  $Q'$  does not represent 1.

<sup>9</sup>The explanation of the 6 here is that the subgroup of  $u \in D^\times$  such that  $uI = I$  has order 6.

<sup>10</sup>It may be convenient to observe the following facts. If  $I$  is a fractional ideal of  $\mathcal{O}$ , the index  $[\mathcal{O} : I] \in \mathbb{Q}^\times$  is actually the square of a rational that we sometimes denote by  $N(I)$  "the Norm of  $I$ ". Indeed, this can be checked locally, in which case it is a previous exercise. For  $x \in D^\times$  we see that  $N(xI) = N(x)N(I)$ , so  $N(x\mathcal{O}) = N(x)$  is consistent with previous use.

discriminant  $\text{disc}(\mathcal{O})$ ), and whose equivalence class only depends on the ideal class of  $I$ .

(iii) (continuation) Let  $p$  be a prime. Show that an ideal  $J \subset \mathcal{O}$  of index  $p^2$  is in the same class as  $I$  iff there exists  $x \in I$  such that  $pJ = x^{-1}I$ . In this case, show that  $x$  is unique up to multiplication by an element of the finite subgroup  $G_I \subset D^\times$  of elements  $u$  such that  $uI = I$ , and that  $q_I$  represents  $p$ . If  $D$  is split at  $p$ , deduce the formula

$$p + 1 = \sum_{[I] \in \text{Cl}(\mathcal{O})} \frac{n_I(p)}{|G_I|}$$

where  $n_I(p)$  is the number of ways to represent  $p$  by  $q_I$ .

(iv) (continuation) Fix  $I$  as above. Show that the number of principal ideals  $J \subset I$  of index  $p^2$  is  $\frac{n_I(p)}{|\mathcal{O}^\times|}$ .

### 3. Modular forms on definite quaternion algebras

**3.1. Definition.** Let  $D$  be the definite quaternion algebra over  $\mathbb{Q}$  with discriminant  $d$  and fix  $\mathcal{O}$  a maximal order of  $D$ . Recall that  $\widehat{\mathcal{O}}^\times = \prod_\ell \mathcal{O}_\ell^\times$ .

We shall typically denote by  $K$  a compact open subgroup of  $\widehat{\mathcal{O}}^\times$  of the form  $\prod_\ell K_\ell$ . If  $(\ell, d) = 1$ , then<sup>11</sup>  $K_\ell = \text{GL}_2(\mathbb{Z}_\ell)$ , so for any integer  $N$  prime to  $D$  it makes sense to define  $K_1(N) \subset \widehat{\mathcal{O}}^\times$  as the compact open subgroup of elements  $(x_\ell)$  such that for any  $\ell|N$  we have

$$x_\ell \equiv \begin{pmatrix} * & * \\ 0 & 1 \end{pmatrix} \pmod{N\mathbb{Z}_\ell}.$$

If  $k \geq 2$  is an integer, we denote by  $W_k$  the algebraic representation  $\text{Sym}^{k-2}(\mathbb{C}^2)$  of  $D_\mathbb{C}^\times = \text{GL}_2(\mathbb{C})$ . Each such  $W_k$  can be viewed by restriction as a representation of  $D^\times$ .

**DEFINITION 3.2.** *The space of modular forms of level  $K$  and weight  $k \geq 2$  for  $D$  is the complex vector space  $S_k(K)$  of functions  $D_f^\times \rightarrow W_k$  such that  $f(\gamma xy) = \gamma f(x)$  for all  $\gamma \in D^\times$ ,  $x \in D_f^\times$ , and  $y \in K$ . For  $(N, d) = 1$  we set  $S_k^D(N) = S_k(K_1(N))$ .*

As for modular forms there is an obvious definition for  $S_k(N, \varepsilon)$  such that  $S_k(N) = \bigoplus_\varepsilon S_k(N, \varepsilon)$  where  $\varepsilon$  runs over all the Dirichlet characters  $(\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$ , but we shall not really need this.

By the finiteness of the class number of  $\mathcal{O}$  and prop 2.8, there is a finite number  $s = s(K)$  of elements  $x_i \in D_f^\times$  such that  $D_f^\times = \prod_{i=1}^s D^\times x_i K$ , and the groups  $\Gamma_i := D^\times \cap x_i K x_i^{-1}$  are finite. We even have  $s \leq h|\widehat{\mathcal{O}}^\times/K|$ . We immediately get :

**THEOREM 3.3.** *The evaluation map  $f \mapsto (f(x_i))$  induces an isomorphism*

$$S_k(K) \rightarrow \prod_i^s W_k^{\Gamma_i}.$$

<sup>11</sup>This identification is well defined up to inner automorphisms of  $\text{GL}_2(\mathbb{Z}_\ell)$ , so the indeterminacy is harmless and we shall never mention this problem again and even write  $K_\ell = \text{GL}_2(\mathbb{Z}_\ell)$  for such an  $\ell$ .

In particular,  $S_k(K)$  is finite dimensional of "explicit dimension".

**Exercise:** If  $K = K_1(N)$  with  $N \geq 5$ , show that  $\Gamma_i = \{1\}$  for each  $1 \leq i \leq s(K)$ . In particular, for such a  $K$  we have  $\dim S_k(N) = (k-1)s(K)$ .

We now deal with Hecke operators. The group  $D_f^\times$  acts by right translations on the vector-space  $S_k$  of all the functions  $D_f^\times \rightarrow W_k$  such that  $f(\gamma x) = \gamma f(x)$  for all  $(\gamma, x) \in D^\times \times D_f^\times$ . By definition, the  $K$ -invariants are  $S_k^K = S_k(K)$  and the subspace of smooth vectors of this space is thus exactly  $\bigcup_K S_k(K)$ . It follows that each  $S_k(K)$  inherits of an action of the Hecke-algebra of  $(D_f^\times, K)$ , i.e. of the restricted tensor product of the Hecke-algebra of the  $(D_\ell^\times, K_\ell)$  for each  $\ell$ . Recall that if  $g_\ell \in D_\ell^\times$ , the double coset  $K_\ell g_\ell K_\ell$  is compact open hence admits a finite decomposition

$$K_\ell g_\ell K_\ell = \bigcup_i g_{i,\ell} K_\ell,$$

and the Hecke operator  $T(g_\ell) : S_W(K) \rightarrow S_W(K)$  is (well-)defined by the mean formula

$$T(g_\ell)(f)(x) = \sum_i f(xg_i).$$

Here we view  $g_i$  as an adèle whose component is 1 at each prime different from  $\ell$ , and is  $g_i$  at  $\ell$ . Of course, two such  $T(g_\ell)$  for two different  $\ell$  commute. When  $\ell$  splits  $D$  and  $\mathcal{O}_\ell^\times = K_\ell \simeq \mathrm{GL}_2(\mathbb{Z}_\ell)$ , the Hecke algebra of  $(D_\ell^\times, K_\ell)$  is generated by the double cosets of  $(1, \ell)$  and  $(\ell, \ell)$ , the first class giving rise to the so-called  $T_\ell$  operator. In this case we have already encountered the explicit formula

$$\mathrm{GL}_2(\mathbb{Z}_\ell) \begin{pmatrix} 1 & 0 \\ 0 & \ell \end{pmatrix} \mathrm{GL}_2(\mathbb{Z}_\ell) = \begin{pmatrix} \ell & 0 \\ 0 & 1 \end{pmatrix} \mathrm{GL}_2(\mathbb{Z}_\ell) \cup \prod_{i=0}^{\ell-1} \begin{pmatrix} 1 & 0 \\ i & \ell \end{pmatrix} \mathrm{GL}_2(\mathbb{Z}_\ell).$$

Again,  $T_\ell$  and  $T_{\ell'}$  obviously commute whenever they are defined and  $\ell \neq \ell'$ . As for modular forms, the most interesting modular forms will be the common eigenforms for all the Hecke operators.

**DEFINITION 3.4.** A quaternionic eigenform for  $D$  of level  $N$  and weight  $k \geq 2$  is a common eigenvector  $f \neq 0 \in S_k^D(N)$  for all the  $T_\ell$  operators with  $(\ell, Nd) = 1$ .

**LEMMA 3.5.** If  $f$  is such a modular form, say such that  $T_\ell(f) = a_\ell f$  for each  $\ell$ , the subfield  $\mathbb{Q}(\{a_\ell, \ell\}) \subset \mathbb{C}$  is a number field called the coefficient field of  $f$ .

Indeed, remark that there exists a number field  $F \subset \mathbb{C}$  such that  $D \otimes_{\mathbb{Q}} F = M_2(F)$ , and  $W_{k|D^\times}$  is naturally defined over such an  $F$ , an  $F$ -structure being  $\mathrm{Sym}^{k-2}(F^2)$ . An  $F$ -structure of  $S_k(K)$  is given by the sub- $F$ -vector space of functions with value in  $\mathrm{Sym}^{k-2}(F^2)$ . That it is indeed an  $F$ -structure follows at once from the theorem above, as each  $\mathrm{Sym}^{k-2}(F^2)^{\Gamma_i}$  is an  $F$ -structure of  $W_k^{\Gamma_i}$  (justify!). The formula above show that Hecke operators preserves this  $F$ -structure, and the lemma follows. (As we may choose two linearly disjoint (quadratic)  $F$  in the argument above, we even also see that the characteristic polynomial of the Hecke operators have rational coefficients.)

**3.6. A non-trivial example.**  $S_2(1)$  is simply the space of functions  $\text{Cl}(\mathcal{O}) \rightarrow \mathbb{C}$ . If  $(\ell, \text{disc}(\mathcal{O})) = 1$  is a prime, and  $f$  such a function, then  $T_\ell(f)([I]) = \sum_{i=0}^{\ell-1} f([I_i])$  where  $I_i \subset I$  runs over the  $\ell+1$  fractional ideals of index  $\ell^2$ . In particular, the 1-dim subspace of constant functions  $\mathbb{C}e$  is stable under each  $T_\ell$ , with eigenvalue  $\ell+1$ .

Assume now that  $D = \left(\frac{-1, -11}{\mathbb{Q}}\right)$  is the quaternion algebra of discriminant 11, so that  $\text{Cl}(\mathcal{O})$  has 2 elements as we already said. Applying the definition we see that the action of  $T_\ell$  on the 1-dimensional quotient  $S_2(1, 1)/\mathbb{C}e$  is the multiplication by the element  $\lambda_\ell$  which is the number of principal ideals inside  $\mathcal{O}$  of index  $\ell^2$  minus the number of principal ideals inside a non-trivial class  $I$  of index  $\ell^2$ . Quaternion arithmetic, i.e. the exercises related to Example B above, also expresses this number as

$$\lambda_\ell = \frac{Q_\ell}{4} - \frac{Q'_\ell}{4}$$

where  $Q_\ell$  and  $Q'_\ell$  are the number of ways to represent  $\ell$  by  $Q$  and  $Q'$  respectively. This is a certainly very interesting collection of integers  $(\lambda_\ell)_{\ell \neq 11}$  but that is not quite the end of the story (by the way, had we defined  $T_n$  for each  $n$  prime to 11, we would have obtained the same formula for  $\lambda_n$  and obtained the rather non-trivial fact that  $\lambda_{nm} = \lambda_n \lambda_m$  whenever  $(n, m) = 1$ !).

Consider, for the two quadratic forms  $F = Q$  and  $Q'$ , the associated  $\theta$ -series

$$\Theta_F = \sum_{n \geq 0} q^{F(n)} = \sum_{n \geq 0} F_n q^n.$$

(so  $F_n$  is the number of ways  $F$  represents the integer  $n$ ). As  $Q, Q'$  are 4-variables integral quadratic forms which are positive definite and with discriminant  $11^2$ , it can be shown that the two theta series above are modular forms of weight 2 for the subgroup  $\Gamma_0(11)$  (see for instance the book of A. Ogg on modular forms). We have  $\Theta_Q = 1 + 4q + 4q^2 + \dots$  and  $\Theta_{Q'} = 1 + 12q^2 + 12q^3 + \dots$  so  $\frac{\Theta_Q - \Theta_{Q'}}{4} = q - 2q^2 + \dots$ . But the space of modular forms of weight 2 and level  $\Gamma_0(11)$  is well-known to have dimension 2 : it is generated by an Eisenstein series not vanishing at  $\infty$ , namely  $E_2(q) - 11E_2(q^{11})$ , and by the cusp form  $q \prod_{n \geq 1} (1 - q^n)^2 (1 - q^{11n})^2 = \sum_{n \geq 1} a_n q^n$ . Thus the only possibility is that

$$\frac{\Theta_Q(q) - \Theta_{Q'}(q)}{4} = q \prod_{n \geq 1} (1 - q^n)^2 (1 - q^{11n})^2$$

so  $\lambda_\ell = a_\ell$  for each  $\ell \neq 11$ . This is a particular instance of the Jacquet-Langlands correspondence. Remembering that those  $\ell+1 - a_\ell$  are also the number of points mod  $\ell \neq 11$  of the elliptic curve  $y^2 + y = x^3 - x^2$  over  $\mathbb{Q}$ , we see that the collection of  $\lambda_\ell$  is indeed really interesting from an arithmetic point of view. From a computational way, it even looks easier to compute  $\lambda_\ell$  by counting first  $|E(\mathbb{F}_\ell)|$ .

A very similar story holds for instance for the quaternion algebra of discriminant  $17^2$  and for the elliptic curve  $y^2 + xy + y = x^3 - x^2 - x$  of discriminant ... 17.

**3.7. The Jacquet-Langlands correspondence.** Recall the space  $S_k(N) = \bigoplus_\varepsilon S_k(N, \varepsilon)$  of cuspidal modular forms of weight  $k$  and level  $N$ . The following theorem is a special case of the Jacquet-Langlands correspondence.

**THEOREM 3.8.** (*Jacquet-Langlands*) *Assume  $(N, d) = 1$ . If  $k > 2$  there is a  $\mathbb{C}$ -linear embedding*

$$S_k^D(N) \rightarrow S_k(Nd)$$

*commuting with all the  $T_\ell$  for  $(\ell, Nd) = 1$ . If  $k = 2$ , the same statement holds if we replace  $S_2^D(N)$  by its quotient by the 1-dimensional subspace of constant functions.*

*In both cases, the image of this embedding is exactly the subspace  $S_k(Nd)^{d\text{-new}}$  of  $d$ -new forms as defined by Atkin-Lehner.*

This correspondence, and its natural generality, is best understood in terms of automorphic representations, and results from the comparison of the Arthur-Selberg trace formula for the algebraic groups  $\mathrm{GL}_2$  and  $D^\times$ . Of course we don't have time to explore this point of view here and we refer to the book of Jacquet and Langlands. We have not defined what a  $d$ -new form is. Let us simply say that it has the following properties, which characterize it :

- (NEW1) if  $f \in S_k(Nd)^{d\text{-new}}$ , then  $f|_k\gamma = f$  for all  $\gamma \in \Gamma_0(d) \cap \Gamma_1(N)$ ,
- (NEW2) an eigenform  $f \in S_k(Nd)$  is in  $S_k(Nd)^{d\text{-new}}$  iff there is no eigenform  $g \in S_k(Nd')$  for  $d'|d$  and  $d' \neq d$  with the same eigenvalues of  $T_\ell$  as  $f$  for each  $\ell$  prime to  $Nd$ ,
- (NEW3)  $S_k(Nd)^{d\text{-new}} \subset S_k(Nd)$  is a direct summand as  $\mathbb{C}[\{T_\ell, (\ell, Nd) = 1\}]$ -module.

**Example :** Assume  $d$  prime. As there is no modular form of weight 2 and level 1, it follows that

$$\mathrm{Cl}(\mathcal{O}) = \dim S_2^D(1) = 1 + \dim S_2(d, 1) = 1 + \mathrm{genus}(X_0(d)),$$

as mentioned earlier.

From the existence of Galois representations attached to modular forms we deduce the following important fact.

**COROLLARY 3.9.** *Let  $f \in S_k^D(N)$  is an eigenform,  $E$  its coefficient field and  $\lambda$  a finite place of  $E$  above the prime  $p$ . There exists a unique continuous semisimple  $p$ -adic representation*

$$\rho_{f,\lambda} : \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathrm{GL}_2(E_\lambda)$$

*which is unramified outside  $Ndp$ , and such that  $\mathrm{trace}(\rho_{f,\lambda}(\mathrm{Frob}_\ell)) = a_\ell$  for each prime  $\ell$  prime to  $Ndp$ .*

If  $k = 2$  and  $f$  is a constant function, we have seen that  $T_\ell(f) = (\ell + 1)f$  for each  $(\ell, Np) = 1$ . In particular  $E = \mathbb{Q}$  and we may define  $\rho_{f,p}$  as  $\mathbb{Q}_p \oplus \mathbb{Q}_p(-1)$ .