

**Appendix A : a sketch of the construction of  $\rho_{f,\lambda}$  when  $f$  has weight 2, following Eichler and Shimura.**

The aim of this appendix is to give an idea of the original construction of the Galois representation  $\rho_{f,\lambda}$  of Theorem ??, due to Eichler ( $\varepsilon = 1$ ) and Shimura (any  $\varepsilon$ ) when the weight  $k$  of  $f$  is 2, based on the *congruence relation*<sup>1</sup>. We insist that we shall not try to give below the cheapest proof (compare with the one in Shimura's book), notably regarding the input of algebraic geometry; on the other hand the ideas of the argument look reasonably clear this way.

**Step 1:** *Modular forms as differential forms on the modular curve  $X_1(N)(\mathbb{C})$ .* For  $N \geq 4$ , the group  $\Gamma_1(N)$  acts freely on  $\mathcal{H}$ , hence it makes sense to consider (for any  $N$ ) the complex Riemann surface

$$Y_1(N)(\mathbb{C}) := \Gamma_1(N) \backslash \mathcal{H}.$$

As is well known, this Riemann surface is non-compact, but has finitely many cusps, in natural bijection with  $\Gamma_1(N) \backslash \mathbb{P}^1(\mathbb{Q})$ . We denote by  $X_1(N)(\mathbb{C})$  the compact Riemann surface obtained by adding these cusps. Remark that a holomorphic differential 1-form  $f(\tau)d\tau$  on  $\mathcal{H}$  is invariant by  $\Gamma_1(N)$ , *i.e.* descends to a holomorphic 1-form  $\omega_f$  on  $Y_1(N)(\mathbb{C})$  if  $f \in M_2(N)$  : this is obvious when  $N \geq 4$  as  $\Gamma_1(N)$  is torsion-free, and it is easily checked<sup>2</sup> to hold for any  $N$ . By an inspection of differentials at the cusps, one even checks that  $f \mapsto \omega_f$  induces an isomorphism

$$S_2(N) \simeq H^0(X_1(N)(\mathbb{C}), \Omega^1)$$

(see e.g. Shimura's book). In particular,  $\dim S_2(N)$  is the genus of  $X_1(N)$ . Using the Riemann-Hurwitz formula, one can show for instance that this genus is 0 for  $1 \leq N \leq 12$  and  $N \neq 11$ , in which case  $X_1(N)(\mathbb{C}) \simeq \mathbb{P}^1(\mathbb{C})$ . It follows that, without loss of generality, we may assume that  $N \geq 4$  anyway.

Recall the exact complex  $0 \rightarrow \mathbb{C} \rightarrow \mathcal{O} \xrightarrow{f \mapsto df} \Omega^1 \rightarrow 0$  on any compact Riemann surface  $S$ . It is a classical fact that it leads to an exact sequence  $0 \rightarrow H^0(S, \Omega^1) \rightarrow H^1(S, \mathbb{C}) \rightarrow H^1(S, \mathcal{O}) \rightarrow 0$ , and that the last map has a natural section provided by the *anti-holomorphic 1-forms*, so we have  $H^1(S, \mathbb{C}) = H^0(S, \Omega^1) \oplus \overline{H^0(S, \Omega^1)}$ . In particular,

firstiso

$$(0.1) \quad S_2(N) \oplus \overline{S_2(N)} = H^1(X_1(N)(\mathbb{C}), \mathbb{C}).$$

**Step 2:** *Hecke correspondences.* If  $S$  and  $S'$  are two Riemann surfaces and  $f : S' \rightarrow S$  is a finite (=non constant) morphism, we have a natural linear map  $f^* : H^1(S, \mathbb{C}) \rightarrow H^1(S', \mathbb{C})$  given by the pull-back of differential 1-forms. In terms of the de Rham-Betti comparison theorem,  $f^*$  is the Poincaré dual to the direct image of 1-cycles on  $H_1(S', \mathbb{C})$ . Moreover,  $f^*(H^0(S, \Omega^1)) \subset H^0(S', \Omega^1)$ . There is also a trace map :  $f_* : H^1(S', \mathbb{C}) \rightarrow H^1(S, \mathbb{C})$ , which is dual to the inverse image

<sup>1</sup>There is an alternative proof due to Langlands and Kottwitz (that works for any  $k \geq 2$ ) based on the comparison between the Selberg trace formula for  $\mathrm{GL}_2/\mathbb{Q}$  applied to some specific functions and the formula giving the number of elliptic curves (plus some level structure) over a given finite field, gathered by isogeny classes (theory of Weil numbers of elliptic curves: Tate, Honda-Tate). This approach is actually much more demanding in mathematics, however it generalizes well to higher-dimensional Shimura varieties. See e.g. Clozel's Bourbaki talk on Kottwitz' results, Casselman's papers at the Corvallis conference, or the books of Laumon.)

<sup>2</sup>Be sure to understand what has to be checked !

(or "correstriction") of 1-cycles on  $H_1(S, \mathbb{C})$ . As a consequence of all of this, if we have correspondence on  $S$ , i.e. a pair  $(p, q)$  of finite morphisms:

$$\begin{array}{ccc} & S' & \\ p \swarrow & & \searrow q \\ S & & S \end{array}$$

We have a natural linear map on  $H^1(S, \mathbb{C})$  preserving  $H^0(S, \Omega^1)$  defined by  $\omega \mapsto p_*q^*\omega$ . Of course,  $(q, p)$  defines a correspondence as well, called the dual or transpose of  $(p, q)$ .

It turns out that by construction, the Hecke correspondences on  $S_2(N)$  are of this form. Indeed, let  $\ell$  be some prime such that  $(\ell, N) = 1$ , and set

$$Y_1(N, \ell)(\mathbb{C}) := \Gamma_1(N, \ell) \backslash \mathcal{H}, \quad \Gamma_1(N, \ell) := \Gamma_1(N) \cap \Gamma_0(\ell).$$

Again, this Riemann surface has a finite number of cusps in bijection with  $\Gamma_1(N, \ell) \backslash \mathbb{P}^1(\mathbb{Q})$ , and by adding these cusps we obtain a compact Riemann surface denoted by  $X_1(N, \ell)(\mathbb{C})$ .

Remark that if  $x = \begin{pmatrix} 1 & 0 \\ 0 & \ell \end{pmatrix} \in \mathrm{GL}_2(\mathbb{Q})^+$ , then  $\Gamma_1(N) \cap x^{-1}\Gamma_1(N)x = \Gamma_1(N, \ell)$ .

It follows that we can define two natural morphisms

$$p, q : X_1(N, \ell)(\mathbb{C}) \rightarrow X_1(N)(\mathbb{C})$$

as follows. First,  $p$  is the natural map induced by quotient from the inclusion  $\Gamma_1(N, \ell) \subset \Gamma_1(N)$ , and  $q$  is induced by the map  $\tau \mapsto x\tau$  on  $\mathcal{H}$ . Concretely, if  $\omega = \omega_f \in S_2(N)$  then  $q^*\omega = (f|_2x)(\tau)d\tau$  is invariant by  $x^{-1}\Gamma_1(N)x$ , hence by  $\Gamma_1(N, \ell)$ . If we choose a finite number of elements  $y_i \in \Gamma_1(N)$  such that  $\Gamma_1(N) = \coprod_i \Gamma_1(N, \ell)y_i$ , then by definition  $p_*q^*\omega = (\sum_{i=0}^{\ell} f|_2xy_i)d\tau$ . But we check easily that  $\Gamma_1(N)x\Gamma_1(N) = \coprod_i \Gamma_1(N)xy_i$ , so that

$$p_*q^*\omega = T_\ell(f)(\tau)d\tau$$

as claimed above.

**COROLLARY 0.1.** *If  $f \in S_2(N, \varepsilon)$  is an eigenform, and  $T_\ell(f) = a_\ell f$  for each prime  $\ell$  prime to  $N$ , then  $a_\ell$  is an algebraic integer and the coefficient field of  $f$*

$$\mathbb{Q}(\{a_\ell, (\ell, N) = 1\}) \subset \mathbb{C}$$

*is a finite extension of  $\mathbb{Q}$ .*

*Proof* — Indeed, the Hecke correspondences being induced by correspondences on the Riemann surface  $X_1(N)(\mathbb{C})$ , they preserve the integral structure

$$H^1(X_1(N)(\mathbb{C}), \mathbb{Z}) \subset H^1(X_1(N)(\mathbb{C}), \mathbb{C})$$

defined by the Betti cohomology. The first statement follows then for instance from the Cayley-Hamilton theorem, and the second from the fact that the coefficient field of  $f$  arises then as a residue field of a commutative subalgebra of the endomorphisms of the finite dimensional  $\mathbb{Q}$ -vectorspace  $H^1(X_1(N)(\mathbb{C}), \mathbb{Q})$ .  $\square$

We refer to the exercises of Part I for the statements of the basic facts of Atkin-Lehner theory and newforms.

**defVf**

PROPOSITION 0.2. Assume that  $f = \sum_{n \geq 1} a_n q^n \in S_2(N, \varepsilon)$  is a normalized newform and fix an embedding  $\iota_\infty : \overline{\mathbb{Q}} \rightarrow \mathbb{C}$ . The biggest subspace

$$V_f \subset H^1(X_1(N)(\mathbb{C}), \mathbb{Q}) \otimes_{\mathbb{Q}} \overline{\mathbb{Q}}$$

over which each  $T_\ell$  for  $(\ell, N) = 1$  acts by the scalar  $\iota_\infty^{-1}(a_\ell)$  has  $\overline{\mathbb{Q}}$ -dimension 2.

*Proof* — Indeed, as each  $T_\ell$  preserves  $H^1(X_1(N)(\mathbb{C}), \mathbb{Q})$ , the eigenspace above is a  $\overline{\mathbb{Q}}$ -structure of the similar eigenspace in  $H^1(X_1(N)(\mathbb{C}) = S_2(N) \oplus \overline{S_2(N)})$ , which has dimension  $1 + 1 = 2$  by Atkin-Lehner's theorem.  $\square$

**Step 3.** *The natural  $\mathbb{Q}$ -structure of modular curves and definition of  $\rho_{f,\lambda}$ .* The modular curves  $X_1(N, \mathbb{C})$  and  $X_1(N, \ell)(\mathbb{C})$  are compact Riemann surfaces. A theorem of Riemann asserts that any such surface is the complex point of a unique projective algebraic smooth curve over  $\mathbb{C}$  (hence the  $-(\mathbb{C})$  in their name). It turns out that both of them are defined over  $\mathbb{Q}$ , they even have a natural<sup>3</sup>  $\mathbb{Q}$ -structure. Indeed, it is enough to define a  $\mathbb{Q}$ -structure on their field of meromorphic functions<sup>4</sup>, and a good definition turns out to be the following: a meromorphic function  $f$  on any of these curves is said  $\mathbb{Q}$ -rational if its  $q$ -expansion lies in  $\mathbb{Q}((q))$ . See Shimura for the proof that it is indeed a  $\mathbb{Q}$ -structure. An example of a non-constant such function is the usual  $j$  function, whose  $q$ -expansion

$$j(\tau) = q^{-1} + 744 + 196884q + \dots$$

even lies in  $\mathbb{Z}((q))$ . Denote for the moment by  $X_1(N)$  and  $X_1(N, \ell)$  the projective smooth algebraic curves over  $\mathbb{Q}$  defined by these  $\mathbb{Q}$ -structures. A natural place to look for Galois representation of  $G_{\mathbb{Q}}$  is the étale cohomology of these curves. (Recall that in the case of curves, a pocket substitute for the étale homology is provided by the Tate-module of the  $\text{Pic}^0$  of the curve) Recall also the étale-Betti comparison theorem

$$H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_p) \xrightarrow{\sim} H_{\text{et}}^1(X_1(N)_{\mathbb{C}}, \mathbb{Q}_p) \xrightarrow{\sim} H^1(X_1(N)(\mathbb{C}), \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{Q}_p.$$

If we fix an embedding  $\iota_p : \overline{\mathbb{Q}} \rightarrow \overline{\mathbb{Q}_p}$ , it induces an isomorphism

$$H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \overline{\mathbb{Q}_p}) := H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \overline{\mathbb{Q}_p} \xrightarrow{\sim} H^1(X_1(N)(\mathbb{C}), \mathbb{Q}) \otimes_{\mathbb{Q}} \overline{\mathbb{Q}_p}.$$

These isomorphisms commute with correspondences on  $X_1(N)$  defined over  $\mathbb{Q}$ . As we shall see below, the natural maps  $X_1(N, \ell)(\mathbb{C}) \rightarrow X_1(N)(\mathbb{C})$  defined above are actually defined over  $\mathbb{Q}$ , hence so are the geometric Hecke-correspondences  $T_\ell$ . It follows that if  $f$  is as in Prop. 0.2, and if  $\iota_p \iota_\infty^{-1} : E \rightarrow \overline{\mathbb{Q}_p}$  induces the place  $\lambda$  of  $E$ , and if

$$V_{f,\lambda} \subset H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \overline{\mathbb{Q}_p})$$

<sup>3</sup>In general, if a complex algebraic curve is defined over  $\mathbb{Q}$ , there may well be non-isomorphic  $\mathbb{Q}$ -structure: for instance, the projective plane conics  $x^2 + y^2 + z^2 = 0$  and  $x^2 - y^2 + z^2 = 0$  are non isomorphic over  $\mathbb{Q}$  (the first having no rational point) but they are over  $\mathbb{C}$ .

<sup>4</sup>If  $K$  is the field of meromorphic functions on  $X_1(N)(\mathbb{C})$  say, a  $\mathbb{Q}$ -structure of  $K$  is a finitely generated subfield  $k$  such that  $k \otimes_{\mathbb{Q}} \mathbb{C} = K$ .

denotes the biggest subspace over which each  $T_\ell$  with  $(\ell, N)$  acts by multiplication by  $a_\ell$  (or more precisely by  $\iota_p \iota_\infty^{-1}(a_\ell)$ ), then the comparison theorem étale-Betti induces an isomorphism

$$V_{f,\lambda} \xrightarrow{\sim} V_f \otimes_{\mathbb{Q}} \overline{\mathbb{Q}_p},$$

and in particular,  $V_{f,\lambda}$  has dimension 2 over  $\overline{\mathbb{Q}_p}$ . The Galois action of  $G_{\mathbb{Q}}$  on  $H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_p)$  commutes with all the Hecke correspondences defined over  $\mathbb{Q}$ , thus  $V_{f,\lambda}$  is stable by  $G_{\mathbb{Q}}$ .

**DEFINITION 0.3.** *Define  $\rho_{f,\lambda}$  as the Galois representation of  $G_{\mathbb{Q}}$  on  $V_{f,\lambda}$ .*

It remains to show that  $\rho_{f,\lambda}$  has the required properties. We already know that, as any geometric Galois representation, it is unramified outside some finite set  $S$ . The statement asserts that  $S$  may be reduced to the set of divisors of  $Np\infty$ . It is enough to show that  $X_1(N)$  has good reduction outside  $N$ , which is not obvious at all from the definition, but true (Igusa). This property will be included in the next (admitted) statement.

**Step 4: Arithmetic moduli of elliptic curves.** Recall that if  $E$  is a complex elliptic curve, then  $E \simeq \mathbb{C}/\Lambda$  for some lattice  $\Lambda \subset \mathbb{C}$  which is unique up to multiplication by  $\lambda \in \mathbb{C}^*$ . For  $\tau \in \mathcal{H}$ , set  $E_\tau = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$  and  $P_\tau = \overline{1/N} \in E_\tau$  (it is a point of order  $N$ ). The Riemann surface  $Y_1(N)(\mathbb{C})$  parameterizes complex elliptic curves equipped with a point of order  $N$ :

**lemmarep**

**LEMMA 0.4.** *The map  $\tau \mapsto (E_\tau, P_\tau)$  induces a bijection between  $Y_1(N)(\mathbb{C})$  and the set of isomorphism classes of pairs  $(E, P)$  with  $E$  a complex elliptic curve and  $P \in E$  a point of order  $N$ .*

We leave this statement as an exercise to the reader. By definition, an (iso)morphism  $(E, P) \rightarrow (E', P')$  is an (iso)morphism  $E \rightarrow E'$  sending  $P$  to  $P'$ . Similarly, one can show that for  $(\ell, N) = 1$ ,  $Y_1(N, \ell)(\mathbb{C})$  parameterizes the isomorphism classes of triples  $(E, P, H)$  where  $E$  is an elliptic curve,  $P \in E$  a point of order  $N$ , and  $H \subset E$  a subgroup of order  $\ell$ . From this point of view, the Hecke correspondence  $T_\ell$  is the transpose of the map

$$Y_1(N)(\mathbb{C}) \rightarrow \text{Div}(Y_1(N)(\mathbb{C})), \quad (E, P) \mapsto \sum_H [(E/H, (P+H)/H)],$$

where  $H$  runs over the  $\ell + 1$  subgroups of  $E$  of order  $\ell$ .

It is an important fact that whenever  $N \geq 4$ , the moduli properties above of complex modular curves extend to their  $\mathbb{Q}$ -structures defined above, which actually gives another way to see this  $\mathbb{Q}$ -structure. This actually extends beautifully over  $\mathbb{Z}$ . For all of this we refer to the book of Katz-Mazur "Arithmetic moduli of elliptic curves" (other useful references : Deligne-Rapoport paper in "Modular functions in 1-variables II", Hida "Geometric modular forms and elliptic curve"). We give below a brief survey of what we shall need from this book.

If  $S$  is a scheme, an elliptic curve over  $S$  (understand : "a family of elliptic curves parameterized by  $S$ ") is a proper smooth morphism  $E \rightarrow S$  equipped with a section  $O \in E(S)$  such that for any point  $s \in S$ , the fiber  $E_s$  is an elliptic curve over the residue field  $k(s)$  with neutral element  $O \times_S s$ . If  $E$  is such an elliptic curve, it may be given a structure a commutative  $S$ -group scheme over  $E$  with neutral element 0

in a natural way, which coincides over the fibers of  $S$  with the group law of elliptic curves encountered before. For any  $N \geq 1$  its  $N$ -torsion  $E[N]$  is a finite flat group scheme over  $S$  of degree  $N^2$ , which is étale if and only if  $N \in \mathcal{O}(S)^\times$ . We refer to Fargues' lectures for the basics about finite flat commutative group schemes.

Denote by  $F$  the contravariant functor from schemes over  $\mathbb{Z}[1/N]$  to sets, where  $F(S)$  is the set of isomorphism classes of pairs  $(E, P)$  where  $E$  is an elliptic curve over  $S$  and  $P : \mu_N \rightarrow E[N]$  an embedding of  $S$ -group schemes. We also denote by  $F_\ell$  the similar functor parameterizing isomorphism classes of triples  $(E, P, H)$  where  $H$  is furthermore a finite flat  $S$ -subgroup of  $E[\ell]$  of order  $\ell$ .

**THEOREM 0.5.** (*Shimura, Igusa, Deligne-Rapoport, Katz-Mazur, Drinfeld*). *Assume  $N \geq 5$ . The functor  $F$  is representable by a smooth affine curve  $Y_1(N)$  over  $\mathbb{Z}[1/N]$  whose complex points are isomorphic to the Riemann surface  $Y_1(N)(\mathbb{C})$  introduced above in a compatible way with lemma <sup>lemmarep</sup>0.4. This scheme  $Y_1(N)$  is an open subscheme of a natural proper smooth curve  $X_1(N)$  over  $\mathbb{Z}[1/N]$  ("theory of the Tate curve"), and whose complex points are isomorphic to the Riemann surface  $X_1(N)(\mathbb{C})$  introduced above.*

*Similarly, if  $(\ell, N) = 1$ , then  $F_\ell$  is representable by a flat affine curve  $Y_1(N, \ell)$  over  $\mathbb{Z}[1/N]$ , whose complex points are isomorphic to the Riemann surface  $Y_1(N, \ell)(\mathbb{C})$  introduced above (again in a compatible way with the identification above for the  $\mathbb{C}$ -points). This scheme  $Y_1(N, \ell)$  is an open subscheme of a natural proper flat curve  $X_1(N, \ell)$  over  $\mathbb{Z}[1/N]$  (idem), which is smooth over  $\mathbb{Z}[1/N\ell]$ , and whose complex points are isomorphic to the Riemann surface  $X_1(N, \ell)(\mathbb{C})$  introduced above.*

*The morphisms  $F_\ell \rightarrow F$ , defined by  $(E, P, H) \mapsto (E, P)$  and  $(E, P, H) \mapsto (E/H, (P + H)/H)$ , extend to finite flat morphisms  $X_1(N, \ell) \rightarrow X_1(N)$ . In particular the geometric Hecke correspondence  $T_\ell$  extends to a finite flat correspondence on  $X_1(N)$  over  $\mathbb{Z}[1/N]$ .*

We take all of this as a (rather heavy) black-box. It is understood that the modular curves over  $\mathbb{Z}[1/N]$  defined by this theorem coincide with our previous  $X_1(N)$  and  $X_1(N, \ell)$  when pulled-back to  $\mathbb{Q}$ . Let us stress that the most difficult part of this statement is to prove the representability of  $F$  and  $F_\ell$ . It is then comparatively easy to check for instance that  $X_1(N)$  is a smooth curve over  $\mathbb{Z}[1/N]$  (so that  $X_1(N)_\mathbb{Q}$  has good reduction outside the primes dividing  $N$ ).

Till now, we have defined  $\rho_{f, \lambda}$  and explained why it is unramified outside  $Np\infty$ . Indeed, by property (i) and (iii) and Example (iii) of the paragraph on étale cohomology there are natural isomorphisms for  $(\ell, Np) = 1$  :

$$H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_p)_{|G_{\mathbb{F}_\ell}} \xrightarrow{\sim} H_{\text{et}}^1(X_1(N)_{\overline{\mathbb{F}_\ell}}, \mathbb{Q}_p) \xrightarrow{\sim} \text{Hom}_{\mathbb{Z}_p}(T_p(X_1(N)_{\mathbb{F}_\ell}), \mathbb{Q}_p)$$

and these isomorphisms commute with the geometric  $T_\ell$  correspondences (this uses that  $T_\ell$  is finite flat over  $\mathbb{Z}[1/N]$  for the commutation with the Grothendieck's base change theorems). In particular,

$$\text{almostfini} \quad (0.2) \quad (V_{f, \lambda}^\vee)_{|G_{\mathbb{F}_\ell}} = (T_p(X_1(N)) \otimes_{\mathbb{Z}_p} \overline{\mathbb{Q}_p})[f]$$

where the  $[f]$  on the right means "the biggest subspace over which all each  $T_\ell$ 's act by multiplication by  $\iota_p \iota_\infty^{-1} a_\ell$ ". It makes now sense to talk about  $\det(1 - T\rho_{E, \lambda}(\text{Frob}_\ell))$  for  $\ell$  prime to  $Np$ .

**Step 5.** *Eichler-Shimura's congruence relation.* Fix an  $\ell$  prime to  $Np$ . The curve  $X_1(N)$  has a natural action of  $(\mathbb{Z}/N\mathbb{Z})^\times$ , usually denoted by  $d \mapsto \langle d \rangle$ , given on the moduli problem  $F$  by raising the  $\mu_N$ -point  $P$  to the power  $d$ . On the  $\mathbb{C}$ -points, it is simply induced by the left action of  $\Gamma_0(N)/\Gamma_1(N)$  on  $X_1(N)$ .

**THEOREM 0.6.** (*Eichler-Shimura*) *On  $\text{Pic}(X_1(N)_{\mathbb{F}_\ell})$  we have*

$$T_\ell = \text{frob}_\ell + \ell \langle \ell \rangle \text{frob}_\ell^{-1}.$$

Let  $C$  be a projective smooth algebraic curve over  $\mathbb{F}_\ell$ . Recall that there is a geometric Frobenius endomorphism  $\text{Fr}_\ell : C \rightarrow C$  which is finite, purely inseparable, of degree  $\ell$  (it is just raising to the  $\ell$ -th power on functions). The action induced by  $\text{Fr}_\ell$  on  $C(\overline{\mathbb{F}})$  coincides with the natural one of  $\text{frob}_\ell$ , so the correspondence  $(\text{id}, \text{Fr}_\ell) : C \rightarrow C$  is the natural endomorphism  $\text{frob}_\ell$  on  $\text{Div}(C)$  and  $\text{Pic}^0(C)$ . Its dual correspondence  $\text{Fr}_\ell^\vee = (\text{Fr}_\ell, \text{id})$  acts then via  $\ell \text{Fr}_\ell^{-1}$  on these spaces.

Assume now that  $C = X_1(N)_{\mathbb{F}_\ell}$ . By the main theorem of Step 4,  $Y_1(N)(\overline{\mathbb{F}}_\ell)$  is in natural bijection with pairs  $(E, P)$  where  $E$  is an elliptic curve over  $\overline{\mathbb{F}}_\ell$  and  $P : \mu_N(\overline{\mathbb{F}}_\ell) \rightarrow E(\overline{\mathbb{F}}_\ell)[N]$  a  $\mathbb{Z}[G_{\mathbb{F}_\ell}]$ -equivariant embedding. Moreover, the correspondence  $T_\ell$  acts on  $\text{Div}(Y_1(N)_{\mathbb{F}_\ell})$  by the formula

$$(E, P) \mapsto \sum_H (E/H, (P+H)/H)$$

where  $H$  runs over the  $\ell + 1$  subgroup schemes of  $E[\ell]$ . By  $(P+H)/H$  we simply mean the composite of  $P$  with the natural isomorphism  $E(\overline{\mathbb{F}}_\ell)[N] \rightarrow (E/H)(\overline{\mathbb{F}}_\ell)[N]$ .

Let  $D^{\text{ord}} \subset \text{Div}(C)$  be the subgroup generated by the points in  $C(\overline{\mathbb{F}}_\ell)$  which are in  $Y_1(N)$ , so of the form  $(E, P)$ , and such that furthermore  $E$  is an ordinary elliptic curve. We refer to Silverman's book for the basics about ordinary elliptic curves. Recall that all but finitely many points of  $C(\overline{\mathbb{F}}_\ell)$  (omitting cusps as well) have this form. As any divisor on a curve is always linearly equivalent to a divisor omitting any given finite set of points, it follows that the natural map

$$D^{\text{ord}} \rightarrow \text{Pic}(C)$$

is surjective. It is thus enough to check Eichler-Shimura's relation on the free abelian group  $D^{\text{ord}}$ .

Fix  $E$  an ordinary elliptic curve over  $\overline{\mathbb{F}}_\ell$ . Recall that  $[\ell] : E \rightarrow E$  has degree  $\ell^2$ , and inseparable degree  $\ell$  in this case. The group scheme  $E[\ell]$  has exactly one connected subgroup of order  $\ell$  (isomorphic to  $\mu_\ell$ ), namely  $H := E[\ell]^0 =$  the kernel of the Frobenius map

$$\text{Fr}_\ell : E \longrightarrow E^{(\ell)} := E \times_{\overline{\mathbb{F}}_\ell} \overline{\mathbb{F}}_\ell$$

the latter scalar extension being given by  $\text{frob}_{\mathbb{F}_\ell}$  (it just amounts to raising the coefficients of a Weierstrass equation of  $E$  to the power  $\ell$  to get  $E^{(\ell)}$ ). In particular,  $\text{Fr}_\ell$  induces an isomorphism  $E/H \xrightarrow{\sim} E^{(\ell)}$ , sending any  $\mu_N$ -point  $P$  on the point  $P^{(\ell)} := P \times_{\overline{\mathbb{F}}_\ell} \overline{\mathbb{F}}_\ell \rightarrow E^{(\ell)}$ . In other words

$$[(E/H, (P+H)/H)] = \text{frob}_\ell[(E, P)] \quad \text{if } H = E[p]^0.$$

The ordinary elliptic curve  $E$  has exactly  $\ell$  subgroups of order  $\ell$  different from  $E[\ell]^0$ , which are all étale subgroups. For each such subgroup, say  $H$ , consider the

associated factorization of the multiplication by  $\ell$  :

$$[\ell] : E \xrightarrow{\text{can}} E/H \xrightarrow{j} E.$$

Then  $j$  is finite and purely inseparable of degree  $\ell$ , so it necessarily factors as

$$E/H \xrightarrow{\text{Fr}_\ell} (E/H)^{(\ell)} \xrightarrow{j'} E,$$

as so does any inseparable morphism of degree  $\ell$  between two projective smooth curves over  $\overline{\mathbb{F}}_\ell$  (see e.g. Hartschorne's book), and  $j'$  is an isomorphism. If  $P$  is a  $\mu_N$ -point of  $E$ , then  $j'$  sends the point  $\text{Fr}_\ell \circ \text{can}(P) = ((P+H)/H)^{(\ell)}$  to  $[\ell]P$ . It follows that

$$\text{frob}_\ell[(E/H, (P+H)/H)] = \langle \ell \rangle[(E, P)]$$

whenever  $H$  is étale of order  $\ell$ , which concludes the proof as there are  $\ell$  such subgroups, and  $\langle \ell \rangle$  is defined over  $\mathbb{F}_\ell$  hence commutes with  $\text{frob}_\ell^{-1}$ .  $\square$

REMARK. Let  $E$  be an elliptic curve over  $\overline{\mathbb{Q}}_\ell$  with good ordinary reduction  $\overline{E}$  over  $\overline{\mathbb{F}}_\ell$ . If  $H \subset E(\overline{\mathbb{Q}}_\ell)[\ell]$  is a subgroup of order  $\ell$ , the analysis above may be reformulated (say forgetting the  $\mu_N$ -points) as a congruence in  $\overline{\mathbb{F}}_\ell$  :

$$j(E/H) \equiv j(E)^\ell \text{ or } j(E/H) \equiv j(E)^{\ell-1}$$

the first case occurring iff  $H$  reduces to  $\overline{E}[\ell]^0$ . (This makes sense as if  $E$  has good reduction over  $\overline{\mathbb{Q}}_\ell$  then  $j(E) \in \overline{\mathbb{Z}}_\ell$  and this property is preserved under isogenies) For this reason, the Eichler-Shimura's theorem is often called the *congruence relation*.

**Step 6.** *End of the proof.* By property (MF1) of modular forms, the operators  $\langle d \rangle$  act by multiplication by  $\varepsilon(\ell)$  on  $V_f$ . A complement to the main theorem of Step 4 is that these isomorphisms of  $Y_1(N)$  actually extend to isomorphisms of  $X_1(N)$  over  $\mathbb{Z}[1/N]$ . It follows that they commute with all the various kind of comparison theorems used above, hence that  $\langle \ell \rangle$  acts by multiplication by  $\varepsilon(\ell)$  on  $V_{f,\lambda}$  for  $(\ell, N) = 1$  (we omit the  $\iota_p \iota_\infty^{-1}$ ). As  $T_\ell$  acts on  $V_{f,\lambda}$  by multiplication by  $a_\ell$ , the Eichler-Shimura theorem reads on  $V_{f,\lambda}$  :

$$\text{frob}_\ell^2 - a_\ell \text{frob}_\ell + \ell \varepsilon(\ell) = 0, \quad (\ell, Np) = 1.$$

It only remains to explain why the traces of  $\text{Fr}_\ell = \text{frob}_\ell$  and of  $\varepsilon(\ell) \text{Fr}_\ell^\vee = \ell \varepsilon(\ell) \text{frob}_\ell^{-1}$  coincide on  $V_{f,\lambda}$ . (to be continued)